

Express Mail No.: EL673946405US

PATENT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Paul D. Shirley  
Filed : Concurrently herewith  
Title : METHOD AND APPARATUS FOR CONTROLLING A TEMPERATURE OF A  
MICROELECTRONIC SUBSTRATE

Attorney Docket No.: 500176.03

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**INFORMATION DISCLOSURE STATEMENT**

Box Patent Application  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/328,042, filed June 8, 1999. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 09/721,539, describing and claiming subject matter that is similar to the subject matter of this application, was filed on November 21, 2000. This related application, which is a divisional of above-referenced Application No. 09/328,042, filed June 8, 1999, as well as any prior art cited therein, may be material to the examination of this application.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

A handwritten signature in black ink, appearing to read "Dale C. Barr", written over a horizontal line.

Dale C. Barr

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DCB/ln

Enclosure:

Form PTO-1449

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FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
500176.03APPLICATION NO.  
Not yet assigned**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

APPLICANT(S)

Paul D. Shirley

FILING DATE

Concurrently herewith

GROUP ART UNIT

Not yet assigned

10872 U.S. PTO  
09/24/33**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,710,251	1/9/73	Hagge et al.	324	158 F	
	AB	4,432,635	2/21/84	Mayer	355	30	
	AC	4,544,446	10/1/85	Cady	156	639	
	AD	4,899,686	2/13/90	Toshima et al.	118	50	
	AE	5,034,688	7/23/91	Moulene et al.	324	158 F	
	AF	5,474,877	12/12/95	Suzuki	430	325	
	AG	5,567,267	10/22/96	Kazama et al.	156	345	
	AH	5,578,127	11/26/96	Kimura	118	695	
	AI	5,578,532	11/26/96	van de Ven et al.	437	245	
	AJ	5,580,607	12/3/96	Takekuma et al.	427	240	
	AK	6,073,681	6/13/00	Getchel et al.	165	80.1	
	AL	6,168,660 B1	1/2/01	Hayes et al.	118	52	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM	363140549 A	6/13/88	Japan				X
	AN	404099018 A	3/31/92	Japan				X
	AO							

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	
	AQ	
	AR	

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).